

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/776,037 Confirmation No.: 1520  
Applicant : Paul G. Yock, et al.  
Filing Date : February 9, 2004  
Title : Methods and Kits for Locally Administering an Active Agent to an Interstitial Space for a Host  
Group Art Unit : 1636  
Examiner : N/A  
Docket No. : 13854.4004  
Customer No. : 34313

Commissioner For Patents  
Mail Stop Missing Parts  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL OF MISSING PARTS**

Sir:

1. DOCUMENTS ENCLOSED:

In response to the **NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**UNDER 37 CFR § 1.53(f)**, which was mailed by the Patent Office on November 6, 2003,  
enclosed are:

- ☒ Declaration  
☐ Power of Attorney: ☐ Separate or ☐ Combined with Declaration  
☐ Assignment of the invention to Integrated Vascular Systems, Inc. (together with PTO Form 1595) 07/20/2004 BABRAHA1 00000001 150665 10776037  
☐ Formal Drawings 03 FC:1460 130.00 DA  
☒ Declaration of Facts in Support of Filing on Behalf of Unavailable Inventors 07/20/2004 BABRAHA1 00000001 150665 10776037  
02 FC:2251 55.00 DA

CERTIFICATE OF MAILING  
37 CFR §1.8

I hereby certify, pursuant to 37 CFR §1.8, that I have reasonable basis to expect that that this paper or fee (along with any referred to as being attached or enclosed) would be mailed or transmitted on or before the date indicated with the United States Postal Service with sufficient postage as first class mail on the date shown below in an envelope addressed to Mail Stop Missing Parts, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: July 14, 2004

*Valerie Cloyd*  
Valerie Cloyd

DOCSOC1:152697.1

Applicant : Yock, et al.  
 Appl. No. : 10/776,097  
 Examiner : N/A  
 Docket No. : 13854.4004

- ☒ A copy of the Notice to File Missing Parts of Application under 37 CFR § 1.53(f)

2. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

- ☒ Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input checked="" type="checkbox"/> one month	\$55.00	\$110.00
<input type="checkbox"/> two months	\$210.00	\$420.00
<input type="checkbox"/> three months	\$475.00	\$950.00
<input type="checkbox"/> four months	\$740.00	\$1,480.00
<input type="checkbox"/> five months	\$1,005.00	\$2,010.00
		Fee 55.00

- ☒ If any extension fee is required, please consider this a petition therefor.

3. FILING FEES

- ☒ Applicant claims small entity status pursuant to 37 CFR 1.27.

<b>BASIC FILING FEE:</b>				\$0.00
Total Claims	- 20 =	x \$18.00		\$ 0.00
Independent Claims	- 3 = 0	x \$86.00		\$0.00
Multiple Dependent Claims	\$290 (if applicable)	<input type="checkbox"/>		\$0.00
Surcharge 37 CFR § 1.16(e)	\$130 (if applicable)	<input checked="" type="checkbox"/>		\$130.00
<b>TOTAL OF ABOVE CALCULATIONS</b>				\$130.00
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. <input checked="" type="checkbox"/>				\$65.00
Extension of Time (from above)				\$55.00
Petition Fee (1.17(h)) <input checked="" type="checkbox"/>				\$130.00
<b>TOTAL FEES SUBMITTED HEREWITH</b>				\$250.00

Applicant : Yock, et al.  
Appl. No. : 10/776,097  
Examiner : N/A  
Docket No. : 13854.4004

4. Method of Payment of fees:

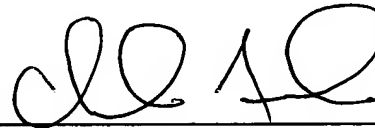
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed to cover the above fee(s).
- ☒ Charge Orrick's Deposit Account No. **15-0665** in the amount of **\$250.00**.
- ☒ The Commissioner is authorized to charge Orrick's Deposit Account No. **15-0665** for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. **15-0665**.

Respectfully submitted,

ORRICK, HERRINGTON & SUTCLIFFE LLP

Dated: July 14, 2004

By: \_\_\_\_\_



Charles C. Fowler  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/776,037	02/09/2004	Paul G. Yock	13854.4004

CONFIRMATION NO. 1520

## FORMALITIES LETTER



\*OC000000012352009\*

34313

ORRICK, HERRINGTON &amp; SUTCLIFFE, LLP

4 PARK PLAZA

SUITE 1600

IRVINE, CA 92614-2558



Date Mailed: 04/14/2004

## NOTICE TO FILE MISSING PARTS OF REISSUE APPLICATION

*Filing Date Granted*

An application number and filing date have been accorded to this reissue application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$65** for a Small Entity

- **\$65** Late oath or declaration Surcharge.

07/20/2004 BABRAHA1 00000001 150665 10776037

01 FC:2051 65.00 DA

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE